

MONTHLY STATUS REPORT FOR MONETARY PENALTY – JUNE 2025

Details of Penalty Levied on DPs:

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
10900	MOTILAL OSWAL FINANCIAL SERVICES LIMITED	2000	Delay in submitting Concurrent Audit Report
10900	MOTILAL OSWAL FINANCIAL SERVICES LIMITED	500	PAN Modification
10907	MOTILAL OSWAL FINANCIAL SERVICES LIMITED - NASIK	500	PAN Modification
11300	PRABHUDAS LILLADHER PVT LTD	500	PAN Modification
11300	PRABHUDAS LILLADHER PVT LTD	500	Delay in submission of response on the surveillance alerts
12101	SURESH RATHI SECURITIES PRIVATE LIMITED - JODHPUR	300	Delay in scanning and uploading the DIS images
12500	CHURIWALA SECURITIES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
12800	DINDAYAL BIYANI STOCK BROKERS LIMITED	200	Delay in scanning and uploading the DIS images
13700	MEHTA EQUITIES LIMITED	4000	Delay in scanning and uploading the DIS images
13800	BANK OF MAHARASHTRA	1200	Delay in scanning and uploading the DIS images
15200	ACML CAPITAL MARKETS LIMITED	500	PAN Modification
16200	AMU SHARES & SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
17000	INDIRA SECURITIES PRIVATE LIMITED	500	PAN Modification
17400	MSE FINANCIAL SERVICES LIMITED	200	Delay in scanning and uploading the DIS images
17400	MSE FINANCIAL SERVICES LIMITED	15000	Inspection Observation
18700	BOB CAPITAL MARKETS LIMITED	200	Delay in scanning and uploading the DIS images
18700	BOB CAPITAL MARKETS LIMITED	1500	PAN Modification
20600	GLOBE CAPITAL MARKET LIMITED	200	Delay in scanning and uploading the DIS images
21700	B D SHAH SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
24200	TRUSTLINE SECURITIES LIMITED	1000	Delay in submission of response on the surveillance alerts

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
24201	TRUSTLINE SECURITIES LIMITED-CHANDIGARH	400	Delay in scanning and uploading the DIS images
24400	MONEYCARE SECURITIES AND FINANCIAL SERVICES LIMITED	1800	Delay in scanning and uploading the DIS images
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	500	PAN Modification
25000	M PRASAD & CO. LIMITED	1000	Delay in submission of response on the surveillance alerts
25500	WELLWORTH SHARES AND STOCK BROKING LIMITED	200	Delay in scanning and uploading the DIS images
25900	UNION BANK OF INDIA	300	Delay in scanning and uploading the DIS images
25900	UNION BANK OF INDIA	1500	Delay in submission of response on the surveillance alerts
27700	CD EQUISEARCH PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
28600	IDBI BANK LIMITED	200	Delay in scanning and uploading the DIS images
28900	SUSHIL FINANCIAL SERVICES PRIVATE LIMITED	9600	Delay in scanning and uploading the DIS images
29400	SAURASHTRA CAPITAL BROKING PRIVATE LIMITED	8000	Inspection Observation
29800	CAPSTOCKS AND SECURITIES (INDIA) PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
32300	NUVAMA WEALTH AND INVESTMENT LIMITED	500	PAN Modification
32400	FINANCE MONITOR (INDIA) PRIVATE LIMITED	500	Delay in submission of response on the surveillance alerts
33200	ANGEL ONE LIMITED	1000	PAN Modification
33500	BONANZA PORTFOLIO LIMITED	1800	Delay in scanning and uploading the DIS images
34600	SYSTEMATIX SHARES AND STOCKS (INDIA) LIMITED	500	PAN Modification
36000	SHAREKHAN LIMITED	500	Delay in submission of response on the surveillance alerts
36000	SHAREKHAN LIMITED	5000	Delay in submitting Inspection compliance
36900	BALAJI EQUITIES LIMITED	200	Delay in scanning and uploading the DIS images
38000	SHARE INDIA SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
39800	FAIR INTERMEDIATE INVESTMENT PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
40100	PATERSON SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
41900	ALMONDZ GLOBAL SECURITIES LIMITED	400	Delay in scanning and uploading the DIS images
42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
42300	RR EQUITY BROKERS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
42800	FORTUNE FISCAL LIMITED	200	Delay in scanning and uploading the DIS images
42800	FORTUNE FISCAL LIMITED	500	PAN Modification
43300	EXCLUSIVE SECURITIES LIMITED	900	Delay in scanning and uploading the DIS images
43700	SWASTIKA INVESTMART LIMITED	2800	Delay in scanning and uploading the DIS images
44400	MARFATIA STOCK BROKING PRIVATE LIMITED	500	PAN Modification
45500	DEALMONEY COMMODITIES PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
45800	BHUMIKA CONSULTANCY PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
47200	SBICAP SECURITIES LIMITED	500	PAN Modification
47600	SHAREWEALTH SECURITIES LIMITED	1500	PAN Modification
47800	MANASHVI SECURITIES LIMITED	400	Delay in scanning and uploading the DIS images
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	15000	Inspection Observation
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	15000	Delay in submitting Inspection compliance
50100	NEW INDIA CO-OPERATIVE BANK LIMITED	4000	Delay in submitting Internal Audit Report
50100	NEW INDIA CO-OPERATIVE BANK LIMITED	3000	Delay in submitting Risk Assessment Template
51000	MITHUN SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
51300	PUNJAB NATIONAL BANK	300	Delay in scanning and uploading the DIS images
52200	BHH SECURITIES PRIVATE LIMITED	102000	Delay in submitting Internal Audit Report Compliance
52900	ADINATH CAPITAL SERVICES LIMITED	1400	Delay in scanning and uploading the DIS images
53600	MUNOTH CAPITAL MARKET LIMITED	400	Delay in scanning and uploading the DIS images
55800	EUREKA STOCK & SHARE BROKING SERVICES LIMITED	500	PAN Modification
59300	FORT SHARE BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
61100	IFCI FINANCIAL SERVICES LIMITED	400	Delay in scanning and uploading the DIS images
61400	RUDRA SHARES AND STOCK BROKERS LIMITED	200	Delay in scanning and uploading the DIS images
62000	SS CORPORATE SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
63000	GRESHMA SHARES & STOCKS LIMITED	200	Delay in scanning and uploading the DIS images
63500	BUGLEROCK CAPITAL PRIVATE LIMITED	1000	Delay in scanning and uploading the DIS images
64400	ALLWIN SECURITIES LIMITED	300	Delay in scanning and uploading the DIS images
64800	ABANS SECURITIES PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
65400	MILI CONSULTANTS & INVESTMENT PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
65600	PSB SECURITIES LIMITED	400	Delay in scanning and uploading the DIS images
66100	AXIOM SHARE BROKING PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
66400	INTELLECT STOCK BROKING LIMITED	200	Delay in scanning and uploading the DIS images
67200	MARKET-HUB STOCK BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
67300	BASAN EQUITY BROKING LIMITED	200	Delay in scanning and uploading the DIS images
67300	BASAN EQUITY BROKING LIMITED	500	Delay in submission of response on the surveillance alerts

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
68100	FRR SHARES AND SECURITIES LIMITED	500	PAN Modification
68300	SAMYAG BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
69200	HPMG SHARES AND SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
69400	BHAIJEE PORTFOLIO PRIVATE LIMITED	1200	Delay in scanning and uploading the DIS images
69500	VIJETA BROKING INDIA PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
70300	GLOBALWORTH SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
70400	MULTIGAIN SECURITIES SERVICES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
71700	ARHAM SHARE PRIVATE LIMITED	1800	Delay in scanning and uploading the DIS images
71900	RELIABLE STOCKS AND SHARES (INDIA) LIMITED	200	Delay in scanning and uploading the DIS images
72300	WEALTH FIRST PORTFOLIO MANAGERS LIMITED	200	Delay in scanning and uploading the DIS images
74400	PINNACLE FOREX AND SECURITIES PRIVATE LIMITED	500	PAN Modification
74600	MUTHOOTTU MINI FINANCIERS LIMITED	200	Delay in scanning and uploading the DIS images
74700	HORIZON FINANCIAL CONSULTANTS PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
74800	P SURYAKANT SHARE AND STOCK BROKERS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
75800	ACUMEN CAPITAL MARKET (INDIA) LIMITED	500	PAN Modification
75800	ACUMEN CAPITAL MARKET (INDIA) LIMITED	500	Delay in submission of response on the surveillance alerts
75900	ACHINTYA SECURITIES PRIVATE LIMITED	500	Delay in submission of response on the surveillance alerts
76400	WEALTH DISCOVERY SECURITIES PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
77800	BADJATE STOCK AND SHARES PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
79200	KOSAMATTAM FINANCE LIMITED	500	PAN Modification
79600	RAJENDRA MODI SHARE BROKERS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
79700	F R RATNAGAR AND COMPANY PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
79900	MANAPPURAM FINANCE LIMITED	200	Delay in scanning and uploading the DIS images
81000	KHANDWALA SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
81600	ZERODHA BROKING LIMITED	500	PAN Modification
81700	PURE BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
83000	JBS SECURITIES PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
84300	FINVASIA SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
85100	ISTAA FINSERV PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
85500	WEALTHSTREET FINANCIAL SERVICES PRIVATE LIMITED	5000	Inspection Observation
86000	BABA BHOOTHNATH TRADE AND COMMERCE LIMITED	400	Delay in scanning and uploading the DIS images
87100	MY MONEY SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
87500	KEDIA CAPITAL SERVICES PRIVATE LIMITED	1800	Delay in scanning and uploading the DIS images
88100	BgSE FINANCIALS LIMITED	400	Delay in scanning and uploading the DIS images
88600	BAJAJ FINANCIAL SECURITIES LIMITED	500	Delay in submission of response on the surveillance alerts
90400	PRL STOCK & SHARE BROKERS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
91500	DYNA WEGMANS SECURITIES LIMITED	4200	Delay in scanning and uploading the DIS images
91700	PRRSAAR SAMPADA PRIVATE LIMITED	500	Delay in submission of response on the surveillance alerts
92400	ENRICH FINANCIAL MARKET PRIVATE LIMITED	25000	Inspection Observation
92900	MIRAE ASSET CAPITAL MARKETS (INDIA) PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
94100	GROWTH SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
94200	NVS BROKERAGE PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images

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94600	YES SECURITIES INDIA LIMITED	1000	Delay in submission of response on the surveillance alerts
95400	SIGNATUREGLOBAL COMTRADE PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	900	Delay in scanning and uploading the DIS images
96800	WEALTHYIN BROKING PRIVATE LIMITED	16500	Delay in submission of response on the surveillance alerts
100600	DIAMOND HANDS LLP	200	Delay in scanning and uploading the DIS images

Details of Penalty Levied on RTAs/ Issuers directly connected to Depository:

RTAs/ Issuers	RTAs/ Issuers Name	Imp Monetary	Audit Type/ Reasons
296	SANWARIA CONSUMER LIMITED	10,000	RTA Internal Audit Report Non-Submission of Report and RTA Internal Audit Report Compliance not submitted
384	INDO MONEY SECURITIES PRIVATE LIMITED	5,000	RTA Internal Audit Report Non-Submission of Report and RTA Internal Audit Report Compliance not submitted